

# UNITED STATES PATENT AND TRADEMARK OFFICE

	1			
4	f		٦	

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	F	ILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
09/944,425	25 08/30/2001		Joseph I. Chamdani	PA1753US	1853		
5073	7590	08/10/2005		EXAM	EXAMINER		
BAKER BOTTS L.L.P. 2001 ROSS AVENUE SUITE 600 DALLAS, TX 75201-2980			PWU, JEF	PWU, JEFFREY C			
			ART UNIT	PAPER NUMBER			
			2143	2143			
				DATE MAILED: 08/10/2003	DATE MAILED: 08/10/2005		

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>`</b>		•						
		Application	No.	Applicant(s)				
		09/944,425		CHAMDANI ET AL.				
Office Action Summary		Examiner		Art Unit				
		Jeffrey C. Pw		2143				
T Period for R	he MAILING DATE of this communication app leply	ears on the co	over sheet with the co	orrespondence ad	ldress			
THE MA - Extension after SIX - If the peri - If NO peri - Failure to Any reply	TENED STATUTORY PERIOD FOR REPLY ILING DATE OF THIS COMMUNICATION. IS of time may be available under the provisions of 37 CFR 1.13 (6) MONTHS from the mailing date of this communication. If of for reply specified above is less than thirty (30) days, a reply of for reply is specified above, the maximum statutory period we reply within the set or extended period for reply will, by statute, received by the Office later than three months after the mailing attent term adjustment. See 37 CFR 1.704(b).	36(a). In no event, within the statutor, will apply and will ex-	however, may a reply be time y minimum of thirty (30) days pire SIX (6) MONTHS from t ion to become ABANDONED	ely filed will be considered timel he mailing date of this c 0 (35 U.S.C. § 133).				
Status								
2a)⊠ Th 3)□ Sir	<ul> <li>Responsive to communication(s) filed on</li> <li>This action is FINAL. 2b) ☐ This action is non-final.</li> <li>Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i>, 1935 C.D. 11, 453 O.G. 213.</li> </ul>							
Disposition	of Claims				,			
4a) 5)□ Cla 6)⊠ Cla 7)□ Cla	aim(s) 1-50 is/are pending in the application.  Of the above claim(s) is/are withdraw aim(s) is/are allowed.  aim(s) 1-50 is/are rejected.  aim(s) is/are objected to.  aim(s) are subject to restriction and/or	vn from consi						
Application	Papers		•					
10)∐ The Ap Re	e specification is objected to by the Examiner of drawing(s) filed on is/are: a) acception acception and request that any objection to the oplacement drawing sheet(s) including the correction of the coath or declaration is objected to by the Examiner.	epted or b)  drawing(s) be to  ion is required	neld in abeyance. See if the drawing(s) is obj	37 CFR 1.85(a). ected to. See 37 Cl	• •			
Priority und	er 35 U.S.C. § 119							
a)	☐ Certified copies of the priority documents	s have been r s have been r ity document ı (PCT Rule 1	eceived. eceived in Applications have been receive 7.2(a)).	on No d in this National	Stage			
Attachma=4/->								
2) Notice of 3) Information	References Cited (PTO-892) Draftsperson's Patent Drawing Review (PTO-948) on Disclosure Statement(s) (PTO-1449 or PTO/SB/08) (s)/Mail Date	4) 5) 6)	Interview Summary ( Paper No(s)/Mail Dai Notice of Informal Pa	te	O-152)			

Application/Control Number: 09/944,425

Art Unit: 2143

#### **DETAILED ACTION**

## Claim Rejections - 35 USC § 103

- 1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 2. Claims 1-50 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hu et al. (6,535,518) in view of Lee (U.S. 6,894,979).

Per Claim 1,

Hu et al. disclose a network system and method substantially claimed including: a storage device (Storage A (or SAN); 110); a server (120); a network switch (101); and a unified networking device configured to provide a single-hop communication path between the storage device and the server, a single-hop communication path between the storage device and the network switch, and a single-hop communication path between the server and the network switch/router (100).

Hu et al. do not expressly show the details of protocol conversion. Hu et al. fails to show "identifying a first communication protocol of an incoming packet, the incoming packet destined for a destination port associated with a second communication protocol; determining if the first communication protocol matches the second communication protocol; determine if the first communication protocol and the second communication protocol have a common layer if the first communication protocol does not match the second communication protocol; and

Art Unit: 2143

encapsulating the incoming packet in the second communication protocol if the first communication protocol and the second communication protocol have a common laver." It is well known to convert data from one protocol to another in a multiple protocol network environment. Lee teaches, as illustrated in Figs. 2, 3, & 4, the details of protocol conversion and translation of the data which involves identifying the data payload of a packet in a first protocol and then formatting the data into a packet of a second protocol. Thus, the data payloads carried by the packets of the respective protocols will be essentially the same, and the headers and other overhead information associated with the packets will be determined by the respective protocols. Lee further teaches "the data, including the data payload and protocol-related overhead information, is treated as the data payload of the packets in the second protocol. The overhead information associated with the first protocol is not discarded. If it is intended in a particular environment to convert data from the first protocol into a second protocol, and then back into the first protocol, it may be desirable to convert the data from the first protocol to the second by encapsulating it. This may improve the efficiency of the conversion back to the first protocol because the data payload is already formatted according to the first protocol--it is not a raw data payload which needs to be reformatted according to the first protocol." (Hu et al. – col.4, lines 5-58)

It would have been obvious to a person having ordinary skill in the art at the time of the invention was made to modify the network system/method for bypassing a server to achieve higher throughput between data network and data storage systems as taught by Hu et al. to include the well know method of the protocol conversion (Lee – col.4, lines 53-55) to improve the efficiency of data conversion from the first protocol to the second protocol by

Application/Control Number: 09/944,425

Art Unit: 2143

identifying a first communication protocol of an incoming packet, determining if the first communication protocol matches the second communication protocol, determine if the first communication protocol and the second communication protocol have a common layer if the first communication protocol does not match the second communication protocol, and encapsulating the incoming packet in the second communication protocol if the first communication protocol and the second communication protocol have a common layer.

Per claim 2, The network system of claim 1, wherein the unified networking device is further configured to provide a single-hop communication path between the storage device and a router (Hu et al. - 101H110), a single-hop communication path between the server and the router (Hu et al. - 101H120), and a single-hop communication path between the network switch and the router (Hu et al. - 130H101).

Per claim 3, The network system of claim 1, further comprising a second storage device and the unified networking device is further configured to provide a single-hop communication path between the storage device and the second storage device (col.8, line 30-col.9, line 24).

Per Claim 4, The network system of claim 1, wherein the network switch is a load balancing network switch (Hu et al. - col.8, lines 1-25; abstract).

Per Claim 5, The network system of claim 1, wherein the storage device is a Redundant Array of Independent Disks (Hu et al. - co1.9, lines 43-47).

Art Unit: 2143

Per Claim 6, The network system of claim 1, wherein the storage device is a Just a Bunch of Disks (Hu et al. - col.9, lines 43-47).

Per Claim 7, The network system of claim 1, wherein the storage device is a tape drive (Hu et al. - col.9, lines 43-47).

Per Claim 8, The network system of claim 1, wherein the unified networking device is configured to communicate with a plurality of servers (Hu et al. - col.9, lines 43-47).

Per Claim 9, The network system of claim 1, wherein the unified networking device includes a plurality of line cards each having at least one port capable of transmitting packets, and a switch card configured to communicate with the plurality of line cards across a backplane (Hu et al. - col.7, line 1-col.10, line 27; It is inherent a "Decoding and Control Unit", "Controller", "Scheduler and Flow Control", "Router", "Buffering", and "Medium Interfaces" must use interconnecting ports and switches).

Per Claim 10, The network system of claim 9, wherein the unified networking device includes sixteen line cards each having sixteen ports, and four switch cards, where each switch card is configured to communicate with every other switch card and each line card (Hu et al. - fig. 4, 16-line cards).

Application/Control Number: 09/944,425

Art Unit: 2143

Per Claim 11, The network system of claim 9, wherein each of the plurality of line cards includes a packet processor in communication with the backplane (Hu et al. - col.13, line 50-col.14, line 6).

Per Claim 12, The network system of claim 11, wherein each packet processor is an application specific integrated circuit (Hu et al. - col.13, line 50-col.14, line 6).

Per Claim 13, The network system of claim 9, wherein the switch card includes at least one flow control application specific integrated circuit and a crossbar switch (Hu et al. - fig.4, "Data Driven Multi-processor Pipelined Model".

Claims 14-50 are similarly rejected as in claims 1-13.

## Response to Arguments

3. Applicant's arguments with respect to claims 1-50 have been considered but are moot in view of the new ground(s) of rejection.

### Conclusion

4. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO

Page 7

Application/Control Number: 09/944,425

Art Unit: 2143

MONTHS of the mailing date of this final action and the advisory action is not mailed until after

the end of the THREE-MONTH shortened statutory period, then the shortened statutory period

will expire on the date the advisory action is mailed, and any extension fee pursuant to 37

CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event,

however, will the statutory period for reply expire later than SIX MONTHS from the date of this

final action.

5. Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Jeffrey C. Pwu whose telephone number is 571-272-6798.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, David Wiley can be reached on 571-272-3923. The fax phone number for the

organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published applications

may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

applications is available through Private PAIR only. For more information about the PAIR

system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR

system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

8/3/05

JEFFREY PWU PRIMARY EXAMINER